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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/821,502	03/29/2001	Guangdian Guordon Wu	068508.0102	5217

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EXAMINER

AKERS, GEOFFREY R

ART UNIT

PAPER NUMBER

3624

DATE MAILED: 03/11/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/82502

Applicant(s)

Wu

Examiner

Hong, G

Art Unit

2624

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136 (a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 3/29/01
- 2a) ☐ This action is FINAL. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11; 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-52 is/are pending in the application.
- 4a) Of the above, claim(s) _____ is/are withdrawn from consideration
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-52 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claims _____ are subject to restriction and/or election requirements.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on _____ is: a) ☐ approved b) ☐ disapproved by the Examiner.
If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. §§ 119 and 120

- 13) ☐ Acknowledgement is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

*See the attached detailed Office action for a list of the certified copies not received.

- 14) ☐ Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(e).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgement is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s). _____
- 4) ☐ Interview Summary (PTO-413) Paper No(s). _____
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other:

DETAILED ACTION

Claim Rejections - 35 USC § 103

1. Claims 1-52 are rejected under 35 USC 103(a) as unpatentable over Marcali(US Pat. No: 5,629,982) in view of Katz(US Pat. No:6,055,513).
2. As per claims 1-52 Marcali teaches a personal base process on a computer platform for conducting electronic transactions in multiple different environments(Abstracts).Marcali teaches a system utilizing a trusted third party which is implemented on a computer network(col 4 lines 58-60) although telephone, broadcast or other communication networks may be used(col 4 lines 60-62) all interconnected with computers at nodes.Marcali teaches a customized message and receipt(Fig 1).Marcali teaches other electronic communications between first,second and third parties(col 3 line 55-col 4 line 31). Marcali further teaches the communications architecture and topological structure and encryption methods(col 6 line 41-col 14 line 32).Marcali teaches methods for public and secret auctions on a computer network(col 14 line 33-col 15 line 45). In addition to that taught by Marcali, Katz also teaches means of managing personal information(Abstract) and effecting remote commerce(Abstract).Katz also teaches accepting orders from customers(Fig 1/10/12). Katz further teaches upsell data obtained from a database to be presented to a customer(Fig 1/30) as well as an order fulfillment system(Fig 3/120), billing(Fig 3/122) and shipment and tracking(Fig 3/124).Katz further teaches that order transaction information is entered by a customer at a PC terminal either by public communication network as the internet or as private network(gateway)(Fig 4/140).Katz also teaches


Art Unit: 3624

pre-registration for a primary transaction of a product(Fig 6/300) as well a customer demographics(Fig 6/613) and personal information (Fig 6/320) of the customer.It would have been obvious to one skilled in the art at the time of the invention to combine Marcali in view of Katz to teach the disclosure. The motivation to combine is to teach a system for effecting financial transactions in an intelligent automated provision of goods and services to the telephonic and electronic commerce areas as enunciated by Katz(col 8 lines 22-31).

Conclusion

3. THIS ACTION IS MADE NON-FINAL.

4. Any questions concerning this communication should be addressed to the examiner of record, Dr. Geoffrey Akers, P.E., who can be reached between 6:30 AM and 5:00 PM Monday through Friday at 703-306-5844. If attempts to contact the examiner are unsuccessful, the primary examiner's superior, Mr. Vincent Millin, SPE, may be telephoned at (703)-308-1065.


March, 2004

**DR. GEOFFREY R. AKERS, P.E.
PRIMARY EXAMINER**